

Algebraic & Coalgebraic Methods in Semantics

Lecture V

Natural Operational Semantics

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5th June 2000

- *How do we define:*

$$\lambda : TD \Rightarrow DT \text{ (distr. law) ?}$$

- How do we define:

$$\frac{\lambda : TD \Rightarrow DT \text{ (distr. law) ?}}{D\text{-Coalg} \xrightarrow{\tilde{T}} D\text{-Coalg}}$$

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$$T\text{-Alg} \xrightarrow{\tilde{D}} T\text{-Alg}$$

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- $\Sigma \rightsquigarrow T = \langle T, \eta, \mu \rangle$

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- $B \rightsquigarrow D$

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- ★ $X \xrightarrow{k} BX$

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- ★ $X \xrightarrow{k} \triangleright BX \quad \mapsto \quad TX \xrightarrow{\tilde{T}(k)} \triangleright BTX$

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Structural Operational Semantics

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Operational semantics by *structural induction*?

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- Plotkin's SOS (1981)

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Example: merge operator

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Example: merge operator $x_1 \parallel x_2$

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Example: merge operator $x_1 \parallel x_2$

$$\frac{x_1 \xrightarrow{a} x'_1}{x_1 \parallel x_2 \xrightarrow{a} x'_1 \parallel x_2}$$

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Example: merge operator $x_1 \parallel x_2$

$$\frac{x_1 \xrightarrow{a} x'_1}{x_1 \parallel x_2 \xrightarrow{a} x'_1 \parallel x_2} \qquad \frac{x_2 \xrightarrow{a} x'_2}{x_1 \parallel x_2 \xrightarrow{a} x_1 \parallel x'_2}$$

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Structure:

Structural Operational Semantics

Operational semantics by *structural induction*?

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★ Predominant approach to operational semantics

Example: merge operator $x_1 || x_2$

$$\frac{x_1 \xrightarrow{a} x'_1}{x_1 || x_2 \xrightarrow{a} x'_1 || x_2} \qquad \frac{x_2 \xrightarrow{a} x'_2}{x_1 || x_2 \xrightarrow{a} x_1 || x'_2}$$

Structure: operator $||$

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Example: merge operator $x_1 || x_2$

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Behaviour of $x_1 || x_2$:

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Structure: operator $||$

Behaviour of $x_1 || x_2$: defined in terms of the behaviour

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Example: merge operator $x_1 || x_2$

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Structure: operator $||$

Behaviour of $x_1 || x_2$: defined in terms of the behaviour of the components x_1 and x_2

A simple Process Algebra

A simple Process Algebra

- $t ::= x \mid$

A simple Process Algebra

- $t ::= x \mid \text{nil}$

A simple Process Algebra

- $t ::= x \mid \text{nil} \mid a.t$

A simple Process Algebra

- $t ::= x \mid \text{nil} \mid a.t \mid t \parallel t$

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- ★ $\Sigma X = 1 + A \cdot X + X^2$

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- Rules $\mathcal{R}$:

A simple Process Algebra

- $t ::= x \mid \text{nil} \mid a.t \mid t \parallel t$

- $\star \quad \Sigma X = 1 + A.X + X^2$

- *Rules* $\mathcal{R}$: $a.x \xrightarrow{a} x$

A simple Process Algebra

- $t ::= x \mid \text{nil} \mid a.t \mid t \parallel t$

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- Rules $\mathcal{R}$: $a.x \xrightarrow{a} x$ $\frac{x_1 \xrightarrow{a} x'_1}{x_1 \parallel x_2 \xrightarrow{a} x'_1 \parallel x_2}$

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- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$

A simple Process Algebra

- $t ::= x \mid \text{nil} \mid a.t \mid t \parallel t$

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- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$ (for each $a \in A$ only a finite choice)

A simple Process Algebra

- $t ::= x \mid \text{nil} \mid a.t \mid t \parallel t$

- ★ $\Sigma X = 1 + A \cdot X + X^2$

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- ★ B -coalgebras:

A simple Process Algebra

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- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$ (for each $a \in A$ only a finite choice)

- ★ B -coalgebras: image finite transition systems

A simple Process Algebra

- $t ::= x \mid \text{nil} \mid a.t \mid t \parallel t$

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- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$ (for each $a \in A$ only a finite choice)

- ★ B -coalgebras: image finite transition systems

- * $X \xrightarrow{k} (\mathcal{P}_{\text{fi}} X)^A$

A simple Process Algebra

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- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$ (for each $a \in A$ only a finite choice)

- ★ B -coalgebras: image finite transition systems

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A simple Process Algebra

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- Rules $\mathcal{R}$: $a.x \xrightarrow{a} x$ $\frac{x_1 \xrightarrow{a} x'_1}{x_1 \parallel x_2 \xrightarrow{a} x'_1 \parallel x_2}$ $\frac{x_2 \xrightarrow{a} x'_2}{x_1 \parallel x_2 \xrightarrow{a} x_1 \parallel x'_2}$

- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$ (for each $a \in A$ only a finite choice)

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- * $X \xrightarrow{k} (\mathcal{P}_{\text{fi}} X)^A \quad x' \in k(x)$

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- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$ (for each $a \in A$ only a finite choice)

- ★ B -coalgebras: image finite transition systems

- * $X \xrightarrow{k} (\mathcal{P}_{\text{fi}} X)^A \quad x' \in k(x)(a) \iff$

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- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$ (for each $a \in A$ only a finite choice)

- ★ B -coalgebras: image finite transition systems

- * $X \xrightarrow{k} (\mathcal{P}_{\text{fi}} X)^A \quad x' \in k(x)(a) \iff x \xrightarrow{a} x'$

A simple Process Algebra

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- ★ $\Sigma X = 1 + A \cdot X + X^2$

- Rules $\mathcal{R}$: $a.x \xrightarrow{a} x$ $\frac{x_1 \xrightarrow{a} x'_1}{x_1 \parallel x_2 \xrightarrow{a} x'_1 \parallel x_2}$ $\frac{x_2 \xrightarrow{a} x'_2}{x_1 \parallel x_2 \xrightarrow{a} x_1 \parallel x'_2}$

- Behaviour: $BX = (\mathcal{P}_{\text{fi}} X)^A$ (for each $a \in A$ only a finite choice)

- ★ B -coalgebras: image finite transition systems

- * $X \xrightarrow{k} (\mathcal{P}_{\text{fi}} X)^A \quad x' \in k(x)(a) \iff x \xrightarrow{a} x'$

$$[[\mathcal{R}]]_X : \Sigma(X \times BX) \longrightarrow BTX$$

Modelling the Rules thru' Σ and B

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$$t ::= x \mid \text{nil} \mid a.t \mid t \parallel t \qquad \Sigma X = 1 + A \cdot X + X^2 \qquad BX = (\mathcal{P}_{\text{fi}} X)^A$$

Modelling the Rules thru' Σ and B

$$t ::= x \mid \text{nil} \mid a.t \mid t \parallel t \quad \Sigma X = 1 + A \cdot X + X^2 \quad BX = (\mathcal{P}_{\text{fi}} X)^A$$

$$[[\mathcal{R}]]_X : \Sigma(X \times BX) \longrightarrow BTX$$

Modelling the Rules thru' Σ and B

$$t ::= x \mid \text{nil} \mid a.t \mid t \parallel t \quad \Sigma X = 1 + A \cdot X + X^2 \quad BX = (\mathcal{P}_{\text{fi}} X)^A$$

$$\llbracket \mathcal{R} \rrbracket_X : \Sigma(X \times BX) \longrightarrow BTX$$

$$\llbracket \mathcal{R} \rrbracket_X : 1 + A \cdot (X \times (\mathcal{P}_{\text{fi}} X)^A) + (X \times (\mathcal{P}_{\text{fi}} X)^A X)^2 \longrightarrow (\mathcal{P}_{\text{fi}} TX)^A$$

Modelling the Rules thru' Σ and B

$$t ::= x \mid \text{nil} \mid a.t \mid t \parallel t \quad \Sigma X = 1 + A \cdot X + X^2 \quad BX = (\mathcal{P}_{\text{fi}} X)^A$$

$$\boxed{[\mathcal{R}]_X : \Sigma(X \times BX) \longrightarrow BTX}$$

$$[\mathcal{R}]_X : 1 + A \cdot (X \times (\mathcal{P}_{\text{fi}} X)^A) + (X \times (\mathcal{P}_{\text{fi}} X)^A X)^2 \longrightarrow (\mathcal{P}_{\text{fi}} TX)^A$$

- $[\text{nil}]_X$

Modelling the Rules thru' Σ and B

$$t ::= x \mid \text{nil} \mid a.t \mid t \parallel t \quad \Sigma X = 1 + A \cdot X + X^2 \quad BX = (\mathcal{P}_{\text{fi}} X)^A$$

$$[\mathcal{R}]_X : \Sigma(X \times BX) \longrightarrow BTX$$

$$[\mathcal{R}]_X : 1 + A \cdot (X \times (\mathcal{P}_{\text{fi}} X)^A) + (X \times (\mathcal{P}_{\text{fi}} X)^A X)^2 \longrightarrow (\mathcal{P}_{\text{fi}} TX)^A$$

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- $a.x \xrightarrow{a} x$ $\llbracket c. \rrbracket_X(x, \beta) = a \mapsto \begin{cases} \{x\} & \text{if } a = c \\ \emptyset & \text{otherwise} \end{cases}$

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Naturality

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- *natural* in X

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$$\equiv$$
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GSOS

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What about *naturality* in X ?

- ★ x_i and y_{ij}^a all distinct
- ★ x_i and y_{ij}^a the only variables occurring in t

GSOS

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GSOS rules [*Bloom, Istrail, Meyer 88*]!

Theorem

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image finite sets of GSOS rules for Σ

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(over a fixed denumerably infinite set of variables V).

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image finite sets of GSOS rules for Σ

(over a fixed denumerably infinite set of variables V).

- This correspondence is **1-1** up to equivalence of sets of rules.

From Rules to Distributive Laws

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$$\rho_X : \Sigma(X \times BX) \longrightarrow BTX$$

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$$\rho_X : \Sigma(X \times BX) \longrightarrow BTX \qquad B\text{-Coalg} \xrightarrow{T_\rho} B\text{-Coalg}$$

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$$\begin{array}{ccc}
 B\text{-Coalg} & \xrightarrow{T_\rho} & B\text{-Coalg} \\
 \text{Forget} \downarrow & & \downarrow \text{Forget} \\
 \mathcal{C} & \xrightarrow{T} & \mathcal{C}
 \end{array}$$

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- $X \xrightarrow{k} BX$

From Rules to Distributive Laws

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$$\bullet \quad X \xrightarrow{k} BX \quad \mapsto \quad TX \xrightarrow{T_\rho(k)} BTX$$

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- Derive a Σ -algebra structure for BTX !

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- Derive a Σ -algebra structure for BTX !

$$\star \quad \Sigma BTX \xrightarrow{\rho_{TX}} BT^2X \xrightarrow{B\mu_X} BTX$$

- $\Sigma BTX \xrightarrow{\rho_{TX}} BT^2X \xrightarrow{B^{\mu_X}} TX$

- $\Sigma BTX \xrightarrow{\rho_{TX}} BT^2X \xrightarrow{B\mu_X} TX$

$$X \xrightarrow{\eta_X} TX \xleftarrow{\text{free algebra}} \Sigma TX$$

- $\Sigma BTX \xrightarrow{\rho_{TX}} BT^2X \xrightarrow{B\mu_X} TX$

$$\begin{array}{ccccc}
 X & \xrightarrow{\eta_X} & TX & \xleftarrow{\text{free algebra}} & \Sigma TX \\
 & \searrow k & & & \\
 & & BX & &
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$$BTX$$

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 & BX & & & \\
 & \searrow B\eta_X & & & \\
 & BTX & \xleftarrow{B\mu_X} & BT^2X & \xleftarrow{\rho_{TX}} & \Sigma BTX
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 & BTX & \xleftarrow{B\mu_X} & BT^2X & \xleftarrow{\rho_{TX}} \Sigma BTX
 \end{array}$$

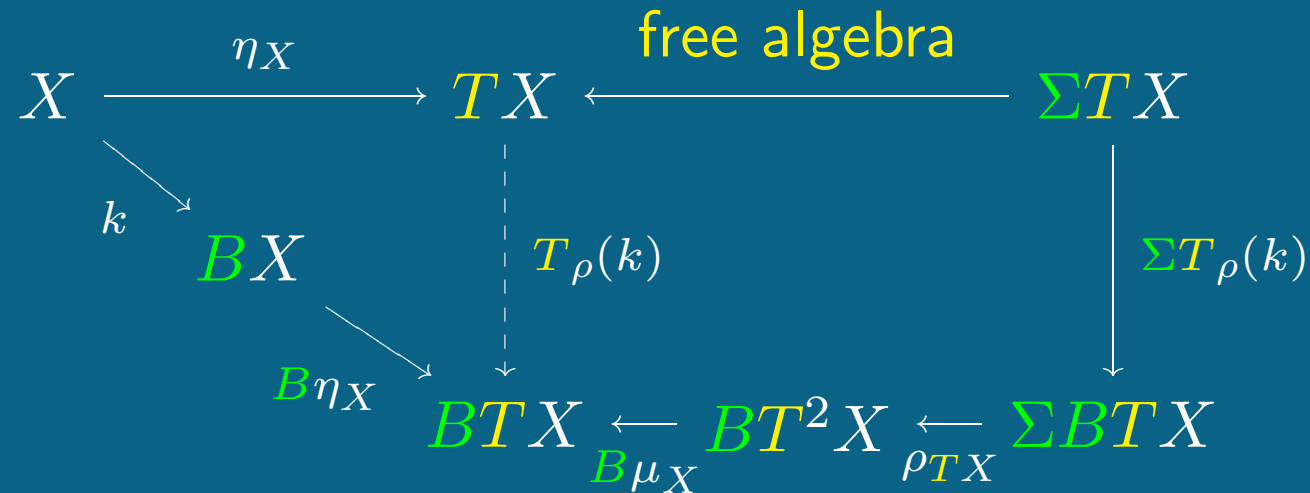
LHS:

- $\Sigma BTX \xrightarrow{\rho_{TX}} BT^2X \xrightarrow{B\mu_X} TX$

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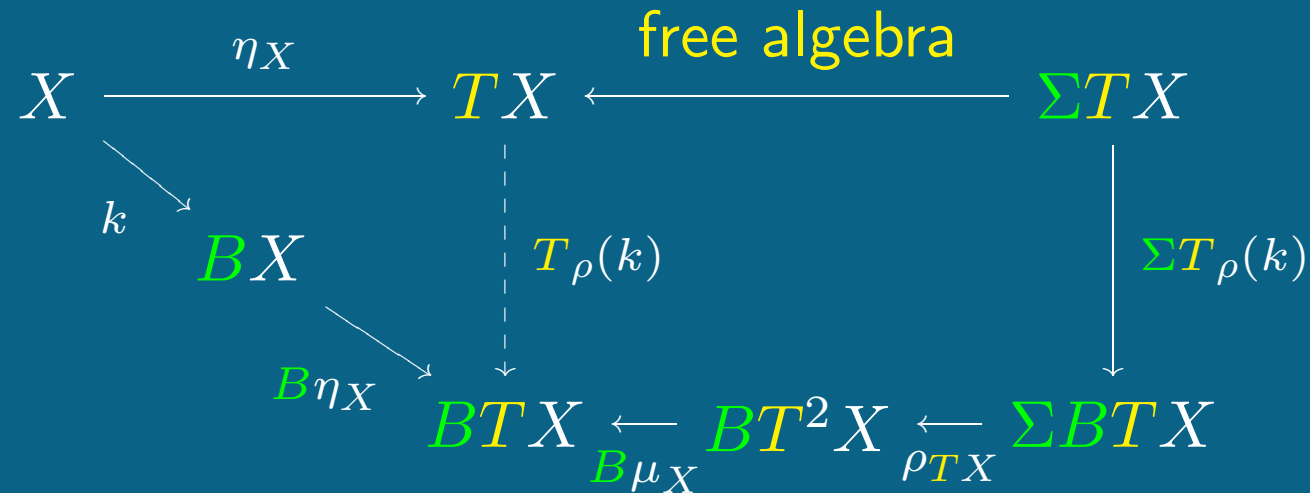
LHS: *conservative extension*

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LHS: *conservative extension* (preservation of the behaviour of variables)

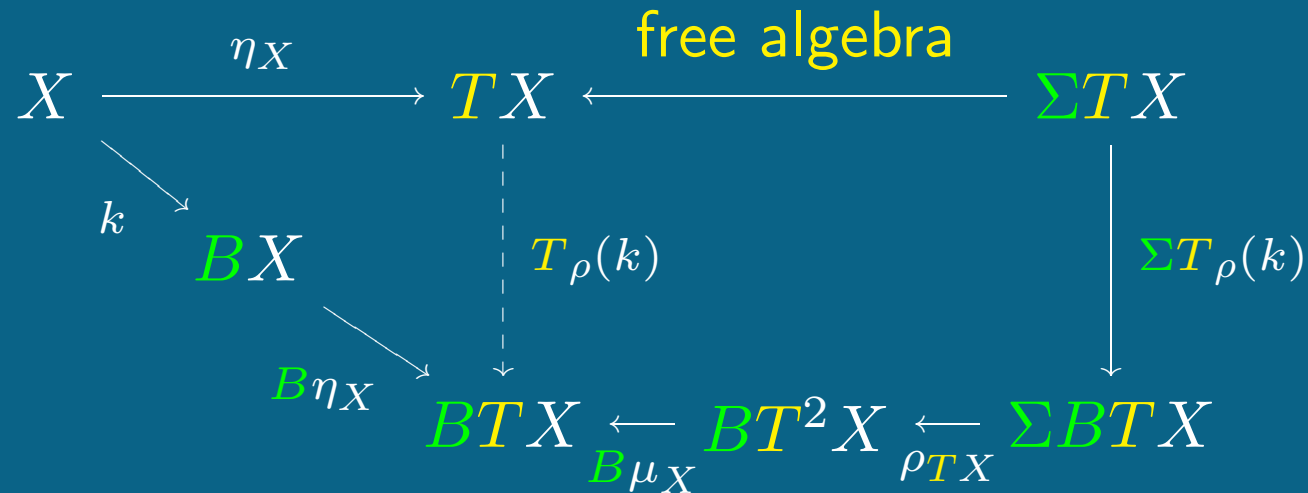
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$$x' \in k(x)(a)$$

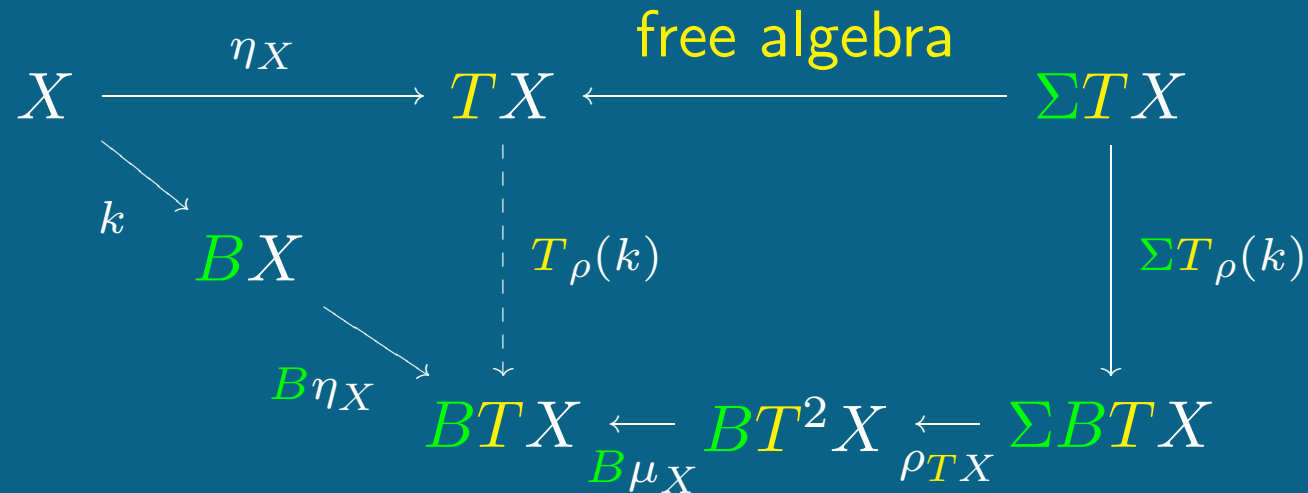
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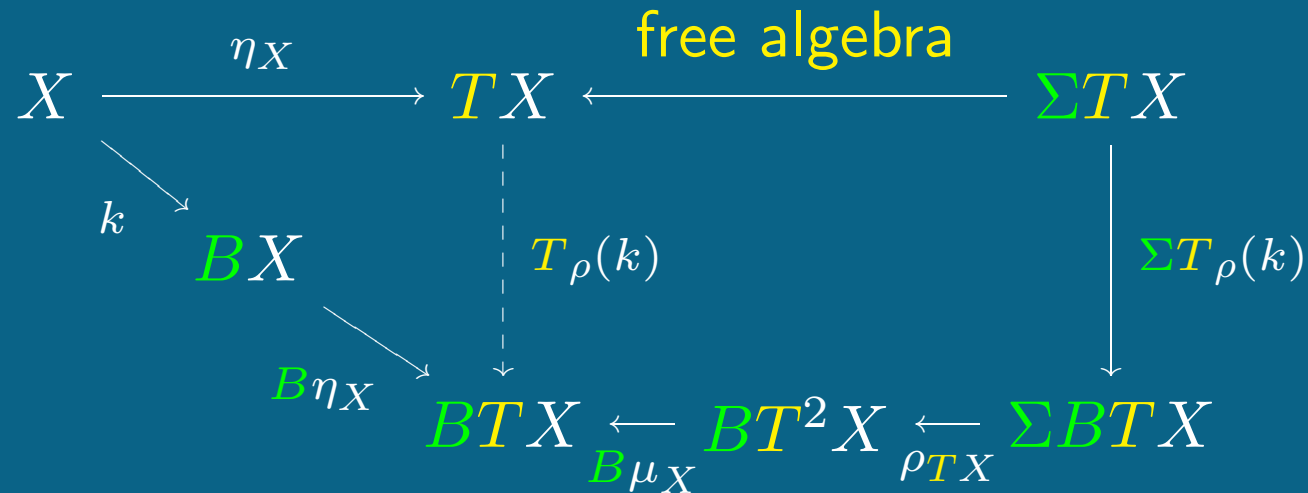
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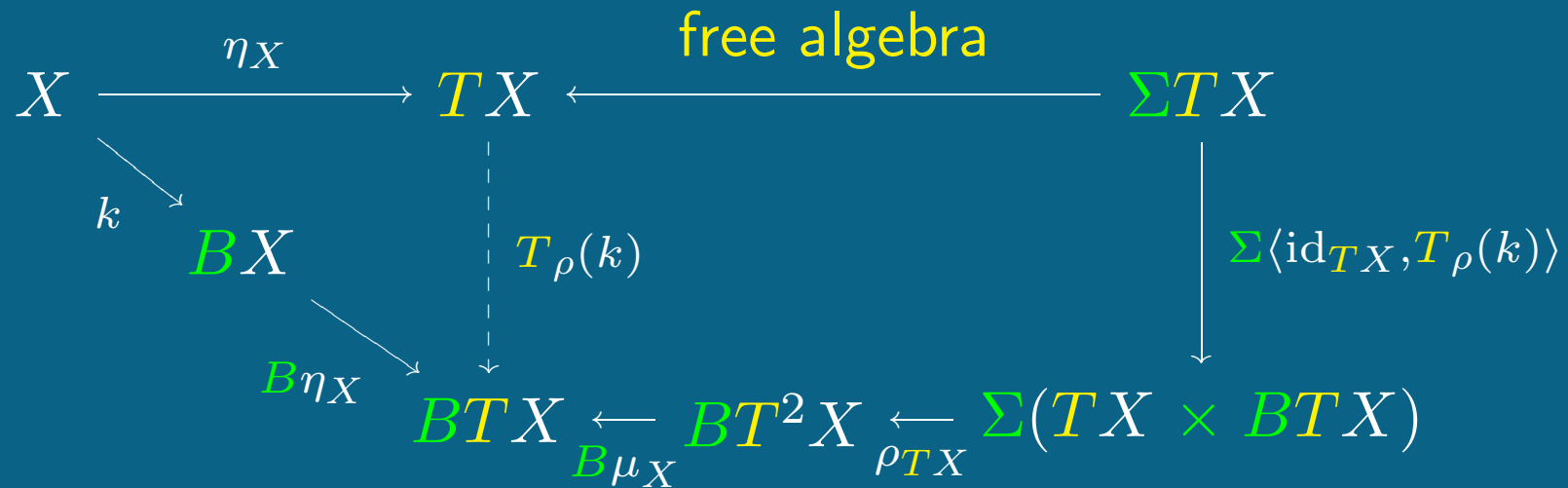
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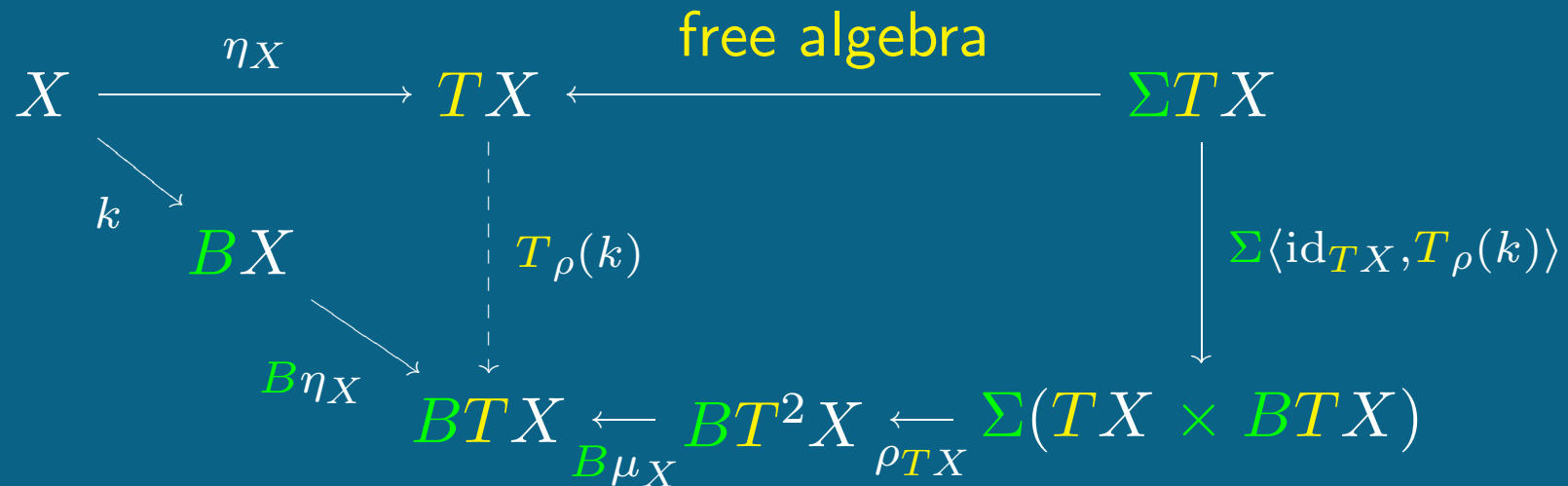
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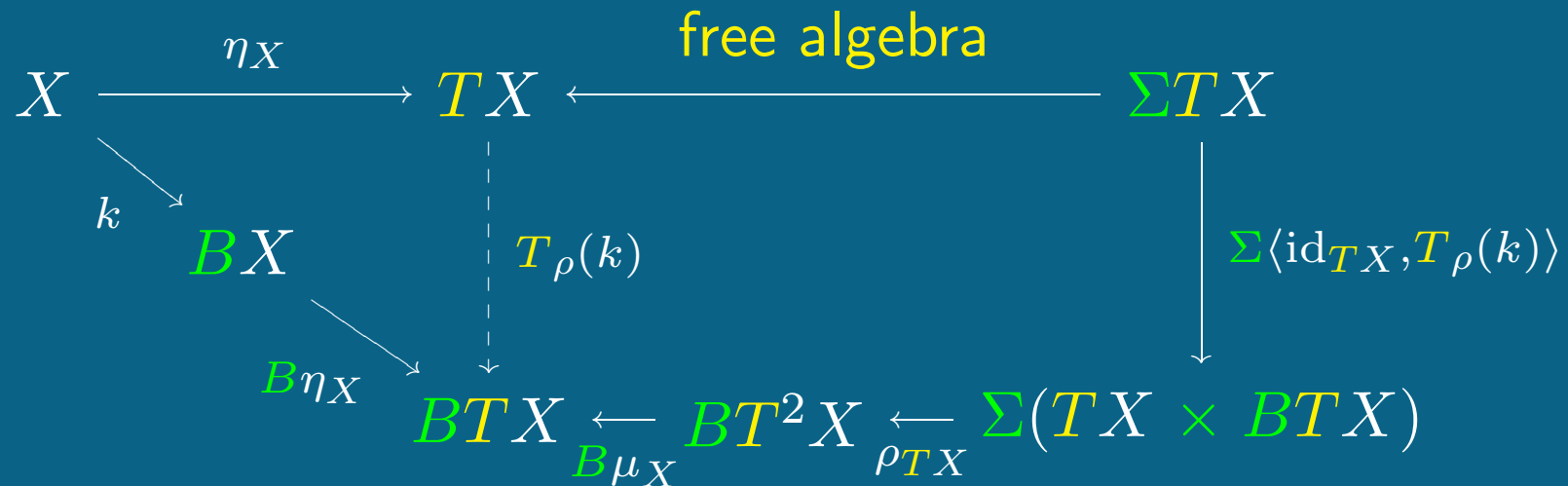
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- ρ -bialgebras

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$$BT_0$$

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initial ρ -bialgebra:

$$\begin{array}{ccc}
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 \downarrow T_\rho(0) & & \downarrow \Sigma\langle \text{id}_{T0}, T_\rho(0) \rangle \\
 BT0 & \xleftarrow[B_{\mu_0}]{} BT^20 & \xleftarrow[\rho_{T0}]{} \Sigma(T0 \times BT0)
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- ★ Superunique semantics!

Guarded Recursive Programs

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x

Guarded Recursive Programs

$$x = a.(x \parallel y)$$

Guarded Recursive Programs

$$\begin{array}{l} x \\ y \end{array} = a.(x \parallel y)$$

Guarded Recursive Programs

$$x = a.(x \parallel y)$$

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Guarded Recursive Programs

$$\begin{aligned}x &= a.(x \parallel y) & X &\stackrel{\text{def}}{=} \{x, y\} \\y &= b.y\end{aligned}$$

Guarded Recursive Programs

$$\begin{aligned} x &= a.(x \parallel y) & X &\stackrel{\text{def}}{=} \{x, y\} & k : X &\longrightarrow (\mathcal{P}_{\text{fi}}TX)^A \\ y &= b.y \end{aligned}$$

Guarded Recursive Programs

$$\begin{array}{lll} x & = & a.(x \parallel y) \\ y & = & b.y \end{array} \quad X \stackrel{\text{def}}{=} \{x, y\} \quad \begin{array}{l} k : X \longrightarrow (\mathcal{P}_{\text{fi}} T X)^A \\ k(x)(a) = \{x \parallel y\} \end{array}$$

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- More details in §5 of my thesis

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- More details in §5 of my thesis and in my CTCS 97 paper

Dual Case: “Denotational” Rules

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What is the dual of the operational rules

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Dual Case: “Denotational” Rules

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- These are the **tree rules** (*Fokkink & van Glabbeek 96*)
- See also §10 of my **thesis**

Conclusions

Conclusions

- Rule Formats

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- Rule Formats (De Simone, GSOS, tyft/tyxt, tree)

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Conclusions

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 - ★ *mathematical account of **well-behaved operational semantics***

For more examples (involving modularity and categories other than **Set**) see:

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Back to:

Lecture III

Lecture IV

Beginning of this Lecture