

# Functional In-place Update with Layered Datatype Sharing

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part of a wider collaboration with  
David Aspinall, Martin Hofmann, Robert Atkey

## Overview

- *LFPL* (Linear Functional Programming Language) [Hofmann 2000]
- functional language  $\Rightarrow$  neat reasoning about programs
- resource type  $\diamond \Rightarrow$  simple and efficient evaluation using heap

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- functional language  $\Rightarrow$  neat reasoning about programs
- resource type  $\diamond \Rightarrow$  simple and efficient evaluation using heap
- *linear typing* guarantees correctness of this evaluation  
forbids sharing on the heap
- developing less restrictive typings for LFPL
  - *usage aspects* (Aspinall & Hofmann 2002)
  - *explicit sharing in the context* (Atkey)
  - *layered datatype sharing* (K 2002)
- Scope: first-order, full recursion, arbitrary inductive datatypes

## Append in LFPL

In ML :

$append(x, y) = \text{match } x \text{ with}$   
     $Nil \rightarrow y$   
     $| Cons(h, t) \rightarrow Cons(h, append(t, y))$

$h : A, t : L(A) \vdash Cons(h, t) : L(A)$

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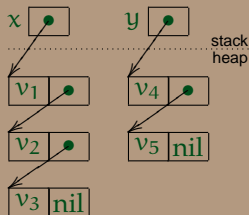
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Heap representation:



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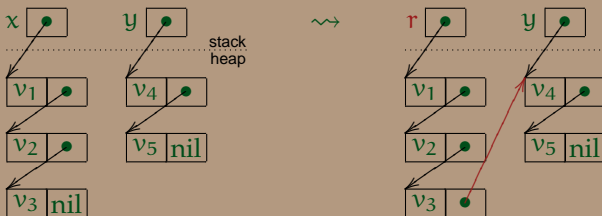
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LFPL with Usage aspects (UAPL, Aspinall & Hofmann 2002):

$x :^1 L(A), y :^2 L(A) \vdash \text{append}(x, y) : L(A)$        $x :^3 L(A) \vdash \text{length}(x) : \text{Nat}$

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expression	eval?	LFPL
$\text{append}(x, x)$	N	N
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$\text{Cons}(\text{length}(x), x)@d$	Y	N
$\text{append}(\text{Cons}(h, t1)@d1, \text{Cons}(h, t2)@d2)$	Y	N

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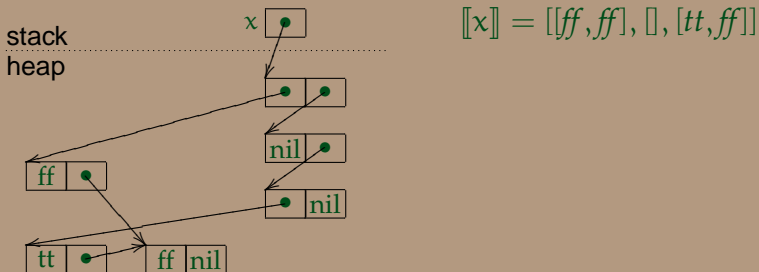
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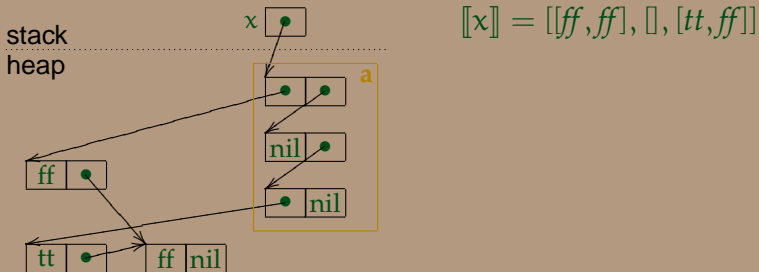
# Datatype portions (layers)

$$L(A) = \mu X. \text{Unit} + \diamond(A \times X)$$



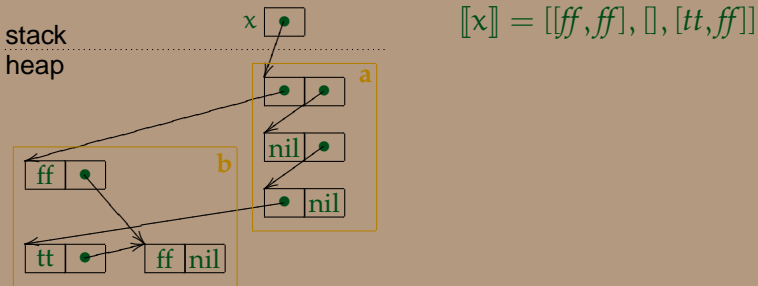
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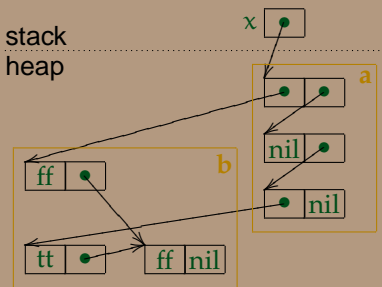
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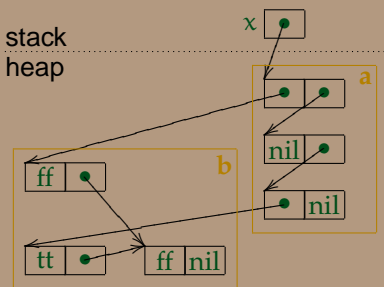


$$\llbracket x \rrbracket = \llbracket [ff, ff], [], [tt, ff] \rrbracket$$

$$x : L^{[a]}(L^{[b]}(\text{Bool}))$$

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$$[[x]] = [[ff, ff], [], [tt, ff]]$$

$$x : L^{[a]}(L^{[b]}(\text{Bool}))$$

$$L^{[a]}(A) = \mu X^{(a)}. \text{Unit} + \diamond^{[a]}(A \times X)$$

# Basic Separation Assertions

---

notation	type pattern	name
$a \otimes b$	$\dots \diamond[a] \dots \diamond[b] \dots$	<b>a</b> separated from <b>b</b>

---

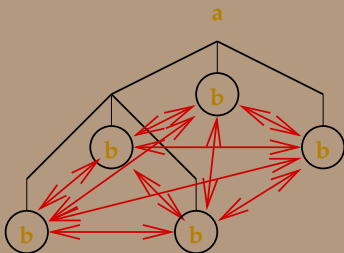
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$\otimes \mathbf{b} / \mathbf{a}$	$\dots \mu X^{(a)}. (\dots \diamond^{[b]} \dots) \dots$	$\mathbf{b}$ separated along $\mathbf{a}$
$\otimes \mathbf{b} \wedge \mathbf{a}$	$\dots \mu X^{(a)}. (\dots \diamond^{[b]} \dots) \dots$	$\mathbf{b}$ separated across $\mathbf{a}$

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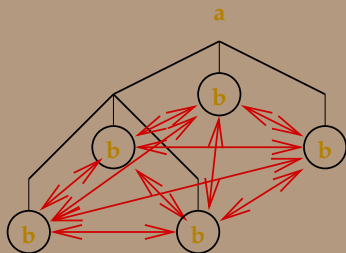
$\otimes \mathbf{b} / \mathbf{a}$



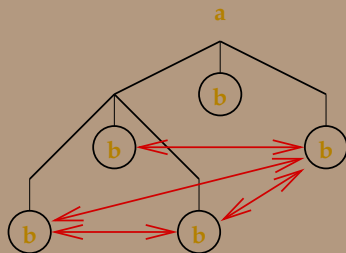
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$a \otimes b$	$\dots \diamond^{[a]} \dots \diamond^{[b]} \dots$	<b>a</b> separated from <b>b</b>
$\otimes b/a$	$\dots \mu X^{(a)}. (\dots \diamond^{[b]} \dots) \dots$	<b>b</b> separated along <b>a</b>
$\otimes b \wedge a$	$\dots \mu X^{(a)}. (\dots \diamond^{[b]} \dots) \dots$	<b>b</b> separated across <b>a</b>

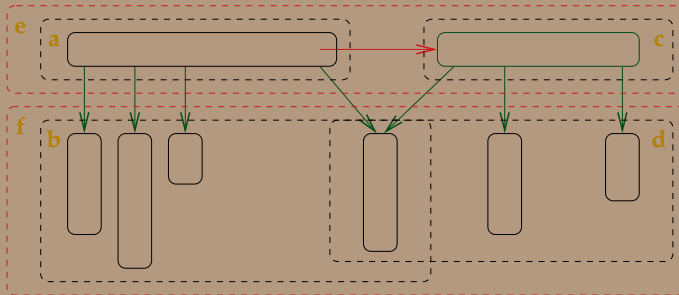
$\otimes b/a$



$\otimes b \wedge a$



# Typing of append



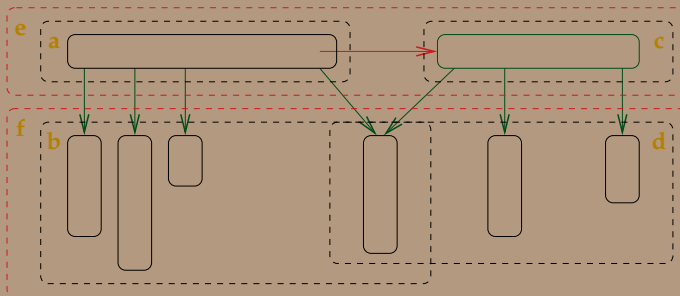
$x : L^{[a]}(L^{[b]}(\text{Bool})), y : L^{[c]}(L^{[d]}(\text{Bool}));$  argument portions

$\vdash$

$\text{append}(x, y) : L^{[e]}(L^{[f]}(\text{Bool}));$  result portions

;

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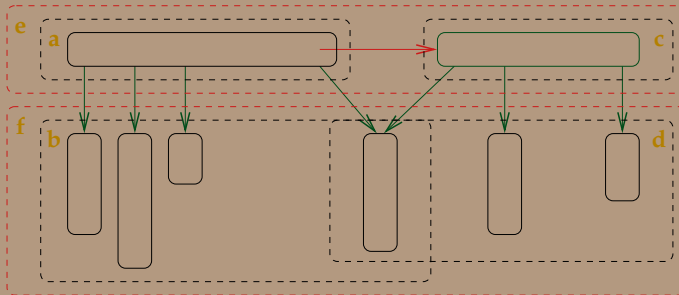
$\{a\}$

destroyed portions

;



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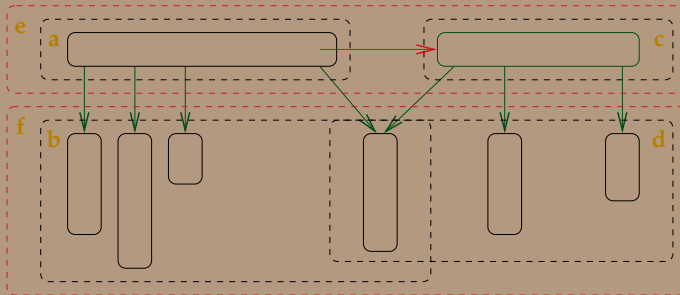
$x : L^{[a]}(L^{[b]}(\text{Bool})), y : L^{[c]}(L^{[d]}(\text{Bool}));$  argument portions

$\vdash$

$append(x, y) : L^{[e \subseteq \{a, c\}]}(L^{[f \subseteq \{b, d\}]}(\text{Bool}));$  result portions, **containment**  
 $\{a\}$  destroyed portions

;

# Typing of append



$x : L^{[a]}(L^{[b]}(\text{Bool})), y : L^{[c]}(L^{[d]}(\text{Bool}));$

argument portions

$\{a \otimes b, a \otimes c, a \otimes d\} \vdash$

separation pre-condition

$\text{append}(x, y) : L^{[e \subseteq \{a, c\}]}(L^{[f \subseteq \{b, d\}]}(\text{Bool}));$

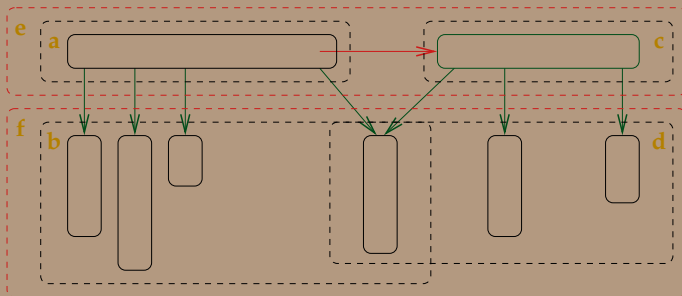
result portions, containment

$\{a\}$

destroyed portions

;

# Typing of append



$x : L^{[a]}(L^{[b]}(\text{Bool})), y : L^{[c]}(L^{[d]}(\text{Bool}));$

$\{a \otimes b, a \otimes c, a \otimes d\} \vdash$

$\text{append}(x, y) : L^{[e \subseteq \{a, c\}]}(L^{[f \subseteq \{b, d\}]}(\text{Bool}));$

$\{a\}$

$\otimes f / e \longleftarrow \{b \otimes d, \otimes b / a, \otimes d / c\} ;$

argument portions

separation pre-condition

result portions, containment

destroyed portions

separation rely-guarantees

# A typing rule

[CONS]

$$B_h = A\left[\frac{\delta}{\rho}\right] \quad B_t = L^{[\zeta]}(A\left[\frac{\delta'}{\rho'}\right])$$

---

$h : B_h, t : B_t, d : \diamond^{[\zeta_a]}$ ;

$\vdash$  *separation pre-condition*

**Cons**(h, t)@d

$;$

*containment guarantees*

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*destroyed portions*

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$h : B_h, t : B_t, d : \diamond^{[\zeta_d]}$ ;

$\{\zeta_d\} \otimes (\mathbf{N}_D(B_h) \cup \mathbf{N}_D(B_t)) \vdash$  *separation pre-condition*

**Cons**(h, t)@d

$:$  ; *containment guarantees*

$\{\zeta_d\}$  ; *destroyed portions*

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[CONS]

$$B_h = A\left[\frac{\delta}{\rho}\right] \quad B_t = L^{[\zeta]}(A\left[\frac{\delta'}{\rho'}\right])$$

$$E = L^{[\zeta' \sqsubseteq \{\zeta_d\}]}(E_h) \cup E_t$$

---

$$h : B_h, t : B_t, d : \diamond^{[\zeta_d]};$$

$$\{\zeta_d\} \otimes (N_D(B_h) \cup N_D(B_t)) \vdash \textit{separation pre-condition}$$

**Cons**(h, t)@d

$$: E; \quad \textit{containment guarantees}$$

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# A typing rule

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$$\frac{\begin{array}{l} B_h = A\left[\frac{\delta}{\rho}\right] \quad B_t = L^{[\zeta]}(A\left[\frac{\delta'}{\rho'}\right]) \\ E = L^{[\zeta' \sqsubseteq \{\zeta_d\}]}(E_h) \cup E_t \quad E_h \in E_Y(B_h) \quad E_t \in E_Y(B_t) \end{array}}{\begin{array}{l} h : B_h, t : B_t, d : \diamond^{[\zeta_d]}; \\ \{\zeta_d\} \otimes (N_D(B_h) \cup N_D(B_t)) \vdash \textit{separation pre-condition} \\ \text{Cons}(h, t) @ d \\ : E; \textit{containment guarantees} \\ \{\zeta_d\}; \textit{destroyed portions} \end{array}}$$



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[CONS]

$$\begin{array}{c}
 B_h = A[\frac{\delta}{\rho}] \quad B_t = L^{[\zeta]}(A[\frac{\delta'}{\rho'}]) \\
 E = L^{[\zeta' \sqsubseteq \{\zeta_d\}]}(E_h) \cup E_t \quad E_h \in E_Y(B_h) \quad E_t \in E_Y(B_t)
 \end{array}$$


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**Cons**(h, t)@d

$:$  E ; *containment guarantees*

$\{\zeta_d\}$  ; *destroyed portions*

**G** *separation rely-guarantees*

where  $G = G_M(E) \cup G_Y(E_h, B_h) \cup G_Y(E_t, B_t)$   
 $\cup \left[ \otimes \zeta_\xi / \zeta' \longleftarrow \{\delta(\xi) \otimes \delta'(\xi)\} \right]_{\xi \in \text{AddrD}(A)}$

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$x : L^{[a]}(L^{[b]}(\text{Bool})), y : L^{[c]}(L^{[d]}(\text{Bool}));$

$\emptyset \vdash$

*separation pre-condition*

```
match x with
  Nil → y
  | Cons(h, t)@d → let z = Cons(h, y)@d
                    in append(t, z)
```

$: L^{[e \sqsubseteq \emptyset]}(L^{[f \sqsubseteq \emptyset]}(\text{Bool}));$

$\emptyset;$

$\otimes f/e \longleftarrow \emptyset$

*containment guarantees*

*destroyed portions*

*separation rely-guarantees*

## Typing a recursive program

- annotating expressions - need annotated function symbols
- initial annotation - optimistic
- then annotate expression

$t : L^{[a]}(L^{[b]}(\text{Bool})), z : L^{[c]}(L^{[d]}(\text{Bool}));$

$\emptyset \vdash$

*separation pre-condition*

match  $x$  with

Nil  $\rightarrow y$

| Cons( $h, t$ )@ $d \rightarrow$  let  $z =$  Cons( $h, y$ )@ $d$

in *append*( $t, z$ )

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$\{a \otimes d, b \otimes d, c \otimes d\} \vdash$

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in *append*( $t, z$ )

$: L^{[e \subseteq \{b, d\}]}(L^{[f \subseteq \{a, c\}]}(\text{Bool}));$

*containment guarantees*

$\{d\};$

*destroyed portions*

$\otimes f/e \leftarrow \{a \otimes c, \otimes c/b\}$

*separation rely-guarantees*

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- annotating expressions - need annotated function symbols
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$\{a \otimes d, b \otimes d, c \otimes d, d \otimes e, d \otimes f\} \vdash$

*separation pre-condition*

match  $x$  with

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| Cons( $h, t$ )@ $d \rightarrow$   $\text{let } z = \text{Cons}(h, y)@d$   
in  $\text{append}(t, z)$

$: L^{[g \subseteq \emptyset]}(L^{[h \subseteq \emptyset]}(\text{Bool}));$

$\{d\};$

$\otimes h/g \leftarrow \emptyset$

*containment guarantees*

*destroyed portions*

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$\{a \otimes c, a \otimes d\} \vdash$

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$: L^{[e \subseteq \{c\}]}(L^{[f \subseteq \{d\}]}(\text{Bool}));$

*containment guarantees*

$\{a\};$

*destroyed portions*

$\otimes f/e \longleftarrow \{\otimes d/c\}$

*separation rely-guarantees*

## Typing a recursive program

- annotating expressions - need annotated function symbols
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- then annotate expression and repeat

$x : L^{[a]}(L^{[b]}(\text{Bool})), y : L^{[c]}(L^{[d]}(\text{Bool}));$

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in *append*( $t, z$ )

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$\{a\};$

$\otimes f/e \longleftarrow \{b \otimes d, \otimes d/c\}$

*containment guarantees*

*destroyed portions*

*separation rely-guarantees*



## Typing a recursive program

- annotating expressions - need annotated function symbols
- initial annotation - optimistic
- then annotate expression and repeat until fixpoint is found

$x : L^{[a]}(L^{[b]}(\text{Bool})), y : L^{[c]}(L^{[d]}(\text{Bool}));$

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$\{a\};$

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*destroyed portions*

$\otimes f/e \iff \{b \otimes d, \otimes b/a, \otimes d/c\}$

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- Typing rules need to be – monotone

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in *append*( $t, z$ )

$: L^{[e \subseteq \{a, c\}]}(L^{[f \subseteq \{b, d\}]}(\text{Bool}));$

*containment guarantees*

$\{a\};$

*destroyed portions*

$\otimes f/e \Leftarrow \{b \otimes d, \otimes b/a, \otimes d/c\}$

*separation rely-guarantees*

- Typing rules need to be
  - monotone
  - stable under strengthening of premises

## Conclusion

- powerful and efficient static analysis of functional in-place update
- implemented in Haskell

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- Future: – Infer formal proofs of correctness (ala Necula&Lee)

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